

September 15, 2022

MEMORANDUM TO: Brent Parton
Acting Assistant Secretary
Employment and Training Administration
Department of Labor

FROM: Peter Regis
Managing Partner, Regis & Associates, PC

SUBJECT: Performance Audit of Department of Labor's (DOL) and states workforce agencies' (SWAs') efforts to address potentially fraudulent claims submitted by claimants with suspicious email accounts.

Please be advised that Regis & Associates, PC has been engaged by the Department of Labor, Office of Inspector General (DOL-OIG); and is initiating a performance audit of the DOL's and SWAs' efforts to address potentially fraudulent claims submitted by claimants with suspicious email accounts. We will contact your audit liaison to schedule an entrance conference to discuss the following:

Objective: The purpose of the performance audit is to determine to what extent DOL and SWAs have taken actions to follow up on potentially fraudulent CARES Act UI claims filed by claimants with suspicious email accounts.

Scope: The scope of this audit will focus in-depth on actions taken by ETA and 10 selected SWAs to address potentially fraudulent claims submitted by claimants with suspicious email accounts from April 7, 2021 through the date of this letter. The remaining 44 SWAs will be surveyed.

Fieldwork Location: Virtual

Mandatory Timeframe: The performance audit report is due to DOL-OIG by March 15, 2023.

We plan to begin work immediately after our entrance conference and would appreciate you notifying appropriate SWA officials of our plans. To facilitate the start of the audit, we have attached an initial documents and data request (Attachment 1); and we would appreciate these items being provided at the entrance conference. If you have questions, please contact Peter Regis, Audit Partner, at (301) 455-4897 or peter.regis@regiscpa.com

cc: Lenita Jacobs-Simmons, Deputy Assistant Secretary, Employment and Training Administration
Laura P. Watson, Deputy Assistant Secretary, Office of Unemployment Insurance
Jim Garner, Administrator Office of Unemployment Insurance
Subri Raman, Deputy Administrator, Office of Grants Management
Greg Hitchcock, Acting Deputy Administrator, Office of Grants Management
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